Measuring ECN++
Good News for ++, Bad News for ECN over Mobile

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ABSTRACT

After ECN (Explicit Congestion Notification) was first added to the Internet Protocol in 2001, it was hit by a succession of deployment problems. Studies in recent years have claimed that path traversal of ECN has become close to universal. In order to test whether a performance enhancement called ECN++ would face a similar deployment struggle, we measured its deployment over mobile as well as fixed networks. In the process, we discovered some bad news for the base ECN protocol—more than half the mobile carriers we tested wipe the ECN field at the first upstream hop. This throws into question whether previous studies used representative vantage points. This paper also reports the good news that, wherever ECN gets through, we found no deployment problems for the ECN++ enhancement. The paper includes the results of other more in-depth tests to check whether servers that claim to support ECN, actually respond correctly to explicit congestion feedback, including some surprising congestion behaviour unrelated to ECN.

Given the results challenge accepted beliefs, this short paper has been produced to report the main findings accompanied by the measurement data. More detailed results and further testing continues.

1. INTRODUCTION

Explicit Congestion Notification (ECN) [26] is a way to mark packets to indicate that the capacity of a link is approaching exhaustion. ECN was standardized as a straight replacement for loss signals, but increasingly ECN is also being recognized as critical for low delay [32].

Despite early evidence of its positive impact [27], a succession of unfortunate incidents stalled ECN deployment for 15 years: some firewalls treated all TCP/ECN connection attempts as port scanning attacks [14]; TCP/ECN connection attempts were mistakenly discarded by certain home router models and one popular model crashed [30]; and when routinely wiping the Diffserv field between networks, a bug wiped the IP/ECN field too.

In recent years, ECN adoption on end-systems has accelerated, with the majority of servers supporting ECN [31]. Currently, motivated by an increasing need to reduce queuing delay in modern networks, solutions such as DCTCP [3] in data centers or L4S [9] in public networks are further driving interest in ECN. In 2016, Apple enabled client negotiation of TCP ECN in a random subset of iOS and macOS devices. In March 2017 they presented a measurement study [7] showing almost universal path traversal support for ECN. Other recent measurement studies [6, 17, 31] are consistent with these findings.

The original ECN specification for TCP [26] prohibited retransmitted packets and control packets from using ECN. This was unfortunate, because TCP performance, particularly short-flow completion time, is much more sensitive to loss of certain control packets, such as the SYN at the start of a connection [16, 18].

A new proposal called ECN++ [4] proposes safe ways to remove all the original prohibitions on using ECN on each type of TCP packet. As with any new protocol, ECN++ can experience deployment problems, either because existing networks and servers protect themselves against out-of-the-ordinary behavior, or because optimizations have been built around a narrow and unchanging interpretation of the way protocols work [15].

Therefore, this study sets out to measure how much existing networks and servers would mangle or block the ECN++ updates to TCP/IP. We test ECN and ECN++ support in both fixed and mobile networks. In particular, we test 18 mobile carriers, making it, to the best of our knowledge, the largest measurement study of ECN in mobile networks so far (even Apple had only tested three mobile carriers [10]). Although we only set out to measure ECN++ support, our measurements from mobile vantage points challenge the accepted belief that path traversal of ECN itself is free of problems.

The study does not just check for correct transitions of protocol fields; it also checks behavior. That is, it checks whether ECN-capable servers correctly reduce their rate in response to ECN feedback.

The paper offers the following main contributions:

**Bad news:** More than half of the mobile carriers we tested
bleach (clear) the ECN field at the first upstream IP hop. This contradicts the impression of hardly any ECN traversal problems that has been reinforced by all recent studies. Nonetheless, our testing with fixed connectivity is consistent with these previous studies.

But not awful news: Bleaching ECN is benign\(^1\), the connection continues, but without the benefits of ECN. We find no evidence of the ECN capability being blocked.

Good news: Wherever ECN gets through, we find no problems for ECN++. We also find no problems with how servers respond to ECN-marking, but we do find some interesting congestion behaviors unrelated to ECN.

2. ECN BACKGROUND

ECN\(^{[13]}\) can be deployed in any buffer in any network element. A bottleneck buffer will mark the standardized ECN field\(^{[26]}\) increasingly often as it detects early signs of increasing load. These ECN markings then propagate to all the hosts receiving data through the buffer. In turn, the receivers feed back these signals to the respective hosts that are sending them data. The aim is that data senders will use this feedback to regulate the load on the bottleneck buffer.

ECN is intimately tied to Active Queue Management (AQM) technology. With AQM, buffers drop a small proportion of packets at the first sign of queue growth to fool TCP senders into thinking the buffer is full and backing off. ECN allows AQM to keep the queue short without dropping packets.

2.1 ECN in IP

<table>
<thead>
<tr>
<th>Codepoint</th>
<th>IP/ECN field</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>not-ECT</td>
<td>00</td>
<td>Not ECN-capable transport</td>
</tr>
<tr>
<td>ECT(0)</td>
<td>10</td>
<td>ECN-capable transport</td>
</tr>
<tr>
<td>ECT(1)</td>
<td>01</td>
<td></td>
</tr>
<tr>
<td>CE</td>
<td>11</td>
<td>Congestion experienced</td>
</tr>
</tbody>
</table>

Table 1: The ECN field in IP

The ECN field has two bits, hence it supports the 4 codepoints in Table 1. Before using ECN, the standard requires a data sender to have checked that the receiver has logic for feeding back ECN markings. Each transport protocol (TCP, SCTP, QUIC, etc.) does this differently. If at least one end does not support ECN, there is not an ‘ECN-capable transport’ (ECT) so the sender must set not-ECT in all packets, which is also what legacy hosts send by default. According to\(^{[26]}\), ECT(0) and ECT(1) are equivalent and either can be set by the sender to signify that the packet is ECT, which means “ECN-capable but not ECN-marked”.

Network elements have to check the ECN field before using ECN. If it is not-ECT (00), they must use drop rather than the CE codepoint to indicate congestion. If the ECN field is non-zero, any buffer can set the CE codepoint (11) increasingly often to indicate increasing congestion.

2.2 ECN in TCP

Three flags in the main TCP header are assigned to ECN. They are called NS, CWR and ECE for Nonce Sum, Congestion Window Reduced and Echo Congestion Experienced. These names are not descriptive of their usage in most circumstances, so this paper will represent them as a 3-bit codepoint, as shown in the ‘flags’ column of Table 2.

Table 2 succinctly supports the following explanations of standard ECN (this section), Accurate ECN (§ 2.3) and ECN++ (§ 2.4). For the present explanation of standard ECN, ignore the AccECN rows at the bottom and the ECN++ column on the right. Also, the NS TCP flag is not used for standard ECN (always zero).

For the example of an ECN client contacting an ECN server, the table should be read down the rows as follows. The client sends an ‘ECN setup SYN’ with TCP ECN flags 011 and the server responds with an ‘ECN setup SYN/ACK’ with TCP ECN flags 001. Only 00 is allowed in the IP ECN field of both these handshake packets, as shown in the ‘Allowed IP ECN’ column.

Once the TCP connection is established, ECN feedback proceeds independently in either direction for the two half-connections. Regular data packets have 000 in the TCP ECN flags and, according to the IP ECN column, the data sender can set either ECT codepoint (XX means theoretically any of the 4 values in Table 1 are allowed). Then, if congestion is experienced along the path, the buffer will set the CE codepoint on some of these packets.

The data receiver will then feed this back by setting the Echo CE (ECE) flag in the TCP header of acknowledgement (ACK). The data sender then confirms receipt of a new ECE flag by setting the CWR flag on the next segment, which confirms (or at least claims) that it has reduced its congestion window (cwnd). For reliability against loss of an ECE message, the data receiver is required to set the ECE flag repeatedly on every ACK until it receives the CWR, one round trip later.

2.3 Accurate ECN

Because the original ECN scheme repeats the ECE flag for a whole round trip for reliability, more than one CE mark within a round trip cannot induce any more feedback. Since 2010, it has become well-known\(^{[3,9]}\) that queuing delay can be reduced to extremely low levels if more accurate feedback gives the extent, not just the existence, of CE-marking. AccECN\(^{[8]}\) adds more accurate ECN feedback to TCP. We introduce it in our testing, but we do not expect to find it in the wild yet because standardization is not yet complete.

The handshake for a client and server supporting AccECN feedback can be seen in the AccECN setup SYN and SYN/ACK rows of Table 2. We will not step through the table again, except to highlight differences. The 110 combina-
<table>
<thead>
<tr>
<th>Feedback mode</th>
<th>Packet</th>
<th>TCP ECN flags (NS:CWR:ECE)</th>
<th>Allowed IP ECN</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Description</td>
<td>flags</td>
<td>ECN</td>
</tr>
<tr>
<td>Non-ECN</td>
<td>All</td>
<td>ECN disabled</td>
<td>000</td>
</tr>
<tr>
<td>ECN</td>
<td>SYN</td>
<td>ECN setup SYN</td>
<td>011</td>
</tr>
<tr>
<td></td>
<td>SYN/ACK</td>
<td>ECN setup SYN/ACK</td>
<td>001</td>
</tr>
<tr>
<td></td>
<td>Data</td>
<td>Regular</td>
<td>000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Echo CE</td>
<td>001</td>
</tr>
<tr>
<td></td>
<td></td>
<td>CWnd Reduced</td>
<td>010</td>
</tr>
<tr>
<td></td>
<td>Control &amp; RTX</td>
<td>Same as data packet</td>
<td>00</td>
</tr>
<tr>
<td>AccECN</td>
<td>SYN</td>
<td>AccECN setup SYN</td>
<td>111</td>
</tr>
<tr>
<td></td>
<td>SYN/ACK</td>
<td>AccECN setup SYN/ACK</td>
<td>010</td>
</tr>
<tr>
<td></td>
<td>Data</td>
<td>CE counter</td>
<td>XXX</td>
</tr>
<tr>
<td></td>
<td>Control &amp; RTX</td>
<td>Same as packet</td>
<td>00</td>
</tr>
</tbody>
</table>

Table 2: Allowed IP ECN field for all types of TCP packet in all three feedback modes. X means 0 or 1.

The tables above describe the allowed IP ECN field for all types of TCP packet in all three feedback modes. X means 0 or 1.

2.4 ECN++

When ECN was first standardized, SYNs and SYN/ACKs were precluded from being ECN-capable at the IP layer. IP/ECN was similarly prohibited for pure ACKs, window probes, and retransmissions (termed ‘Control and RTX’ in Table 2). In 2005, the IETF sanctioned an experiment allowing IP/ECN on the SYN/ACK, termed ECN+ [18, 19].

The ECN++ proposal has found ways to safely allow each type of TCP control packet or retransmission to use IP/ECN, including FIN (finish) and RST (reset) packets as seen in the rightmost column of Table 2. ECN++ can be used with either standard ECN feedback or AccECN feedback. Except, the SYNs can only be ECN-capable in the IP header if it requests AccECN feedback in the TCP flags (111). This is because only AccECN provides space in the SYN/ACK for feedback in the event that the SYN gets CE-marked.

3. RELATED WORK

The extent to which the Internet deploys and supports ECN has been a topic visited repeatedly over the past 15 years [6, 7, 17, 21, 22, 31]. Previous work focused on three main aspects of ECN-readiness, namely, server-side support, end-to-end path support and, finally, client-side support.

Measurements of server-side support usually focus on the population of web servers as ranked by Alexa. While quantifying ECN server-side support in 2004, Medina et al [22] found that as little as 2.1% of the servers tested supported ECN. Ten years later, Trammell et al [31] report an acceleration in the deployment of ECN-capable servers, finding 56.17% of ECN-capable servers. In this paper, we corroborate the acceleration of ECN deployment for wired networks and Alexa servers, and we extend the study to mobile networks and ECN++.

Regarding support of ECN by the network elements on the end-to-end path, in 2013, Kühlewind et al [17] tested 22,487 hosts and reported that in 0.9% of cases ECN was not usable due to middleboxes along the path. Later, in 2015, Trammell et al [31] finds that when testing 326,743 hosts capable of negotiating ECN, for 0.02% of them (107 hosts) a device on the path mangles the TCP/ECN flags. We note that all these above-mentioned efforts report on measurements performed mostly in fixed networks. Only recently, in March 2017, Apple [7] reported 100% positive results after testing from vantage points connected to ‘a few’ mobile carriers, which on further investigation meant three carriers [10].

As mobile broadband networks accommodate more traffic, there is a pressing need for similar analysis of mobile carriers, which are known to represent a middlebox-rich environment. Because of the difficulty of instrumenting mobile devices to perform ECN measurements, there is little prior work [7, 17]. In this paper, we present the largest measurement study of ECN support in mobile networks to date, using vantage points connected to 18 mobile operators. The results we find in this paper contrast with the prior work, providing a different picture of the current support of ECN (in particular in mobile networks) and unraveling significant challenges yet to overcome towards global ECN adoption.

We do not just check for correct transitions of protocol fields, but also characterize the TCP behavior of the servers we test. We leverage the TBIT methodology, introduced by Padhye et al. [23]. TBIT is a tool designed specifically to characterize the TCP behavior of web servers – to answer questions such as the distribution of TCP’s initial value of the congestion window on servers in the wild. Spooﬁng congestion markings has also been proposed for receiver compliance testing by operational servers [29].
4. EXPERIMENTS

The goal of our experiments is to test how the ECN++ modifications to TCP/IP just described in § 2.4 would be treated in the current Internet, particularly over mobile networks. In order to do that, we designed two series of experiments. The first series of tests explores how the different ECN++ related fields are treated by the currently deployed base of network elements and servers. The second series of tests measures how the congestion control algorithms running in deployed servers react to ECN+ congestion signals. In both cases, we design our experiments to measure how equivalent non-ECN and ECN packets are treated in order to compare them with the ECN++ measurement results.

4.1 ECN++ support

The experiments to test support for ECN++ exchange TCP control packets and pure ACKs with different values in the ECN-related fields and check how those packets are treated by the network and by servers. We next describe the tests performed for each type of packet.

4.1.1 TCP SYN and TCP SYN/ACK

To test support for ECN++ in the SYN and the SYN/ACK, we design the experiments to exchange packets containing the values used by ECN++ in the ECN field of the IP header and in the TCP flags. We design two types of experiments, namely, client-side experiments and client/server experiments. In the client-side experiments, we only control the client side that sends the TCP SYN packets against existing servers in the Internet (Alexa top 100k servers). This allows us to learn information about a large number of paths and about support for ECN++ in both network elements and servers.

While client-side measurements provide a lot of information about support for ECN++ in the SYN packet, it usually provides little information about support for ECN++ in the SYN/ACK packet, since the SYN/ACK packet is generated by a server that is out of our control and does not support ECN++.

In the client/server experiments we control both the clients and servers of the connection. This allows us to perform exhaustive testing of all possible combinations of AccECN and ECN++ fields both in the SYN and the SYN/ACK. However, these experiments are limited to a few servers that we control, which also constrains the number of paths traversed.

Client-side experiments.

To observe how the ECN field in the IP header is treated by network elements we use Tracebox [12]. Tracebox uses the same principle as traceroute (i.e., sends packets with increasing TTL and receives an ICMP TTL exceeded error message from the router that discards the original packet when the TTL reaches zero). Tracebox uses information about the original packet returned in the ICMP error message to identify any changes in the IP header.

We run Tracebox sending TCP SYN packets with different codepoints in the ECN field of the IP header enumerated in Table 2. We executed these tests with all possible combinations of the CWR and ECE flags. With this test, we check whether the ECN field is modified, and if so at which hop along the path it is modified.

While Tracebox is very powerful for seeing how the fields in the IP header are treated, it cannot detect the changes in the ECN flags in the TCP header. This limitation stems from the fact that most routers implement RFC792 [24] which requires them to return only the first 64 bits of the IP payload of the packet (leaving out all the ECN related flags later in the TCP header) while a few routers implement RFC1812 [5] which requires them to return the full packet if possible. Because of this, we implement a test that directly sends SYN packets from the clients we control to the Alexa top 100k servers with the different values for the ECN codepoints and TCP flags used by ECN and ECN++ as described in the rows corresponding to SYN packets in Table 2.

This test enables us to check, through the reception of the SYN/ACK, if the SYN was delivered to the server and the server processed it. We can further identify how many servers use RFC3168 [25] (Classic ECN) and how many servers use RFC5562 [20] (ECN+).

Client/server experiments.

In these experiments we control the client and the server side. We implement both a client and a server side of the test that exchange every allowed ECN++ packet sequence. We also test for the case where ECN is not used. We test for the possible SYN-SYN/ACK packet sequences involving the different codepoint/flag combinations described in the SYN and SYN/ACK rows of Table 2.

4.1.2 Data packets, pure ACKs and FINs

We designed these experiments to show how the ECN++ FIN and pure ACK packets are treated by the network and the servers. We also measure how ECN-enabled data packets are treated to establish a baseline for comparison. Like previous experiments, we perform both client-side and client/server experiments (i.e., we perform these experiments with the Alexa top 100k servers and with our own servers).

In the experiments, the client uses Tracebox with PureACKs, Data packets, and FINs with the different combinations of the ECN codepoints and TCP flags included in the rows describing data packets, and 'Control and RTX' in Table 2. In all cases, the client establishes a standard ECN TCP connection before sending the test packets.

4.2 Response to congestion signal

We execute a number of client-side experiments to determine how the deployed base of ECN-enabled servers respond to ECN congestion signals. In particular, we want to learn if the congestion response to a CE marked packet echoed through one or more packets with the ECE flag set
is equal to the response to three duplicate ACKs. We test for the case when a data packet is marked with CE (regular ECN) and the case when the CE marked packet is the SYN/ACK (ECN+ case). The approach we use is similar to the one used by TBIT [23]. For a given server, we measure the Initial Window (IW) of the TCP connection. After learning this, we establish a new TCP connection to the same server and we pretend that the first data packet sent by the server has experienced congestion (either pretending the packet is lost and by sending 3 duplicated ACKs or by sending the ACK for that packet with the ECE flag set) and we measure the resulting congestion window after such a congestion signal, learning the response to congestion from the server in these two situations (CE mark, or packet loss). In particular, we are able to learn if the response is the same for the two congestion signals. We also perform this test pretending that the packet that encountered congestion is the ACK of the TCP three way handshake (3WHS), allowing us to test the congestion response for servers that support ECN+.

4.3 Experimental Setup

We perform all the experiments we designed and described above between January and May 2017. For the client-side experiments, we use both the MONROE platform (mobile carriers) and PlanetLab (wired service providers). The MONROE platform [2] is the first open source [1] and open access hardware-based platform for independent, multihomed, large-scale experimentation in commercial mobile environments. MONROE allows authenticated external users to access the platform and deploy their own experiments. The platform comprises hundreds of nodes multihomed to three of the mobile providers in each of 4 EU countries (Spain, Italy, Sweden, Norway). For the purpose of this study, we instrument 11 MONROE nodes distributed in all the countries with MONROE coverage. We measure the following mobile carriers: Vodafone (IT), TIM (IT), Wind (IT), Orange (ES), Yoigo (ES), Movistar (ES), Telia (SE), Telenor (SE), Three (SE), Telia (NO), Telenor (NO). To test wired providers, we instrument 54 PlanetLab [11] nodes distributed in 25 networks over 22 countries.

All experiments test two TCP ports, namely port 80 and port 443. We execute all the tests both from the MONROE and Planetlab nodes. In all cases, we test only IPv4 hosts. We run the experiments we describe in § 4.1.1 and § 4.1.2 towards the Alexa top 100k web servers and to our own servers. We run the experiments we describe in § 4.2 towards the Alexa top 500k web servers, allowing us to also measure support for ECN in the wild. MONROE nodes resolve the Alexa top-N websites using Google’s public DNS resolver; not the mobile carrier’s default resolver. This enables us to build a vast and complex dataset, which we open to the community3. For example, considering that each client at-

3You can access the dataset at http://www.it.uc3m.es/amandala/ecn++/.

4We observe that the TCP 3WHS is established between the client and the proxy first and when the HTTP GET is issued, the proxy establishes a connection with the server

5. FINDINGS

In this section, we describe the main findings of our measurement study.

Bad news: 7.5 out of 11 Mobile operators tested bleach ECN in outgoing packets. Using Tracebox in the MONROE nodes we find that 7 out of 11 Mobile operators bleach the ECN field in the IP header for all ECN codepoints in all packets going from the client to the Internet, for all types of packets tested (SYN, data packets, pure ACKS and FINs) and for both ports (80 and 443). The operators bleaching ECN are: Yoigo (ES), Orange (ES), Vodafone (IT), TIM (IT), Wind (IT), Telia (NO), Telia (SE). In all the bleaching cases, we observe that the ECN field is cleared in the first hop (i.e., the mobile operator is clearing it in its radio access).

One mobile operator (Movistar (ES)) bleaches the IP/ECN field only for port 80 and not for port 443. Using the client/server experiments, we learn that Movistar is using a web proxy that does not support ECN 4. We find that two other providers, TIM and Vodafone, also use a proxy on port 80.

For the three mobile operators that honor the IP/ECN field in outgoing packets, (Telenor(NO), Telenor(SE) and 3 (SE)), we observe that 0.53% of paths bleach the ECN field of outgoing packets further than 5 hops away. We find similar results in the experiments using the PlanetLab clients (0.23% bleaching), which is consistent with other measurements (see § 3).

Regarding incoming packets (towards the client), through client/server experiments we find that all providers that do not use a proxy honour the value in the ECN field. We verified this by sending ECT marked packets from our servers to all the MONROE clients in the different ISPs. We conjecture that ECN bleeding could be due to a bug seen occasionally since 2009, where routers apply a Diff-Serv policy for bleeding the Differentiated Service Code Point (DSCP) that incorrectly wipes the ECN field as well (before 1998, both fields were combined in the ToS field). We try to validate that hypothesis by correlating changes to DSCP values and ECN bleeding. For this, we use Tracebox with all possible IP/ECT codepoints (see Table 1) and a random DCSP value. We find no correlation is possible because mobile operators always change the DSCP. These results neither invalidate nor confirm our hypothesis. We are in the process of contacting the ISPs to try to validate it.

Given the majority of mobile carriers that bleach the IP/ECN
codepoint, we complement the set of mobile networks we measure with 7 additional operators active in 4 countries other than the ones with MONROE coverage (i.e., Elisa (FI), DNA (FI), Vodafone (DE), Blau (DE), Vodafone (UK), O2 (UK), Base (BE)). For these, we run a limited set of measurements only against four servers (two that we control and two that run in the wild). We find that out of the seven mobile carriers, 3 of them (DNA (FI), Vodafone (UK), O2 (UK)) present the same IP/ECN bleaching behavior in the first hop as the other 7.5 carriers we identified in MONROE.

**Good news: ECN fields do not cause packet drop.** As previously mentioned, Tracebox is unsuited for measuring traversal of the TCP/ECN flags because the deployed router base implements RFC792. In our dataset, we find that only 2.4% of routers (11k out of 468k) along the paths we tested are RFC1812-compliant. Through our client-side, end-to-end tests, we find that, irrespective of the TCP/ECN flags and IP/ECN codepoint combination, for the 6.5M paths tested, the packets sent using different TCP/ECN value combinations are acknowledged by the other end. We find this to be true for SYNs (we receive a SYN/ACK back), for data packets and FINs (we received ACKs back). It is true both for mobile and wired operators. This does not mean the ECN related fields arrive at the other end unchanged though (we know it is not the case for the ECN field in the IP header, as per the previous finding). Through the client/server experiments, we observe that while the IP/ECN field is frequently bleached, all tested paths forward the TCP flags unchanged, except for the paths going through the mobile operators that use a non-ECN-capable proxy on port 80 (i.e., Movistar (ES) and TIM(IT)). Vodafone (IT) uses an ECN-capable proxy that honors the TCP flags.

**Good news: ECN++ support is as good as ECN support.** As described in the two earlier findings, we observe that in the 6.5M paths tested, ECN++ packets are treated by the network in the same way as ECN packets. In other words, ECN bleaching occurs as often in SYN, PureACKs and FIN packets as in data packets. The combinations of the ECN related fields used by ECN++ are not discarded or bleached more often than when used in ECN.

**Good news: 61% of Alexa top 500k server supports ECN.** We used the results of the experiments we describe in § 4.2, which we ran against Alexia top 500k web servers. Our finding corroborate the acceleration of ECN deployment reported in previous work [17, 31]. We also observe that 3.51% of servers (10,709) support ECN in the SYN/ACK, a slight increase over the 1.3% reported in [31]. Five of them answer with ECT(1) in the SYN/ACK, while the others use ECT(0).

**Good news: All the ECN-capable top-500k Alexa servers we were able to test have the same response to ECE as to 3 DupACKs.** Out of the 305k Alexa servers that support ECN, we were able to test 158k of them for their congestion response to ECE marks. We found that their response to an ECE mark is the same to the response to 3 DupACKs. We were unable to test the congestion response of 147k servers for various reasons, including that we were unable to find enough content to fill the IW; or that they were redirected.

**Good news: At least, 51% of the Alexa top-500k servers support IW of 10 segments.** Additionally, out of the Alexa top-500k, 9.2% support IW of 2 segments and 9.3% support IW of 4 segments. We were unable to measure the IW of 74k (14%) of the servers because we were unable to find enough data to fill IW.

**Bad news: 0.4% of the Alexa top-500k servers use IW larger than 10.** The 0.4% we observe account for 1,745 servers, all using an IW larger than 10. Out of these, 1,121 servers deliver the whole file in the first RTT (the largest IW observed is 585 packets of 100 Bytes). Similar behavior was also previously reported in [23].

**Bad news: ECN+-enabled servers do not respond to congestion in the SYN/ACK.** For the 3.51% of servers of the Alexa top-500K that respond with a SYN/ACK with the ECT codepoint, they all show the same odd behaviour, which superficially seems like ECN+ [28]. However, none of them respond to an ECE flag in the ACK of the 3WHS, none respond with a second non-ECT SYN/ACK, and none reduce their initial congestion window, all contrary to the ECN+ RFC. Instead, they all enter Congestion Avoidance phase (i.e. in the second RTT the congestion window is 1 MSS larger than IW).

### 6. CONCLUSIONS AND FUTURE WORK

This paper opens another chapter in the sorry tale of ECN deployment. It was not all bad news. We found good news for the deployment of ECN++, which was the original subject of the study. And we can confirm that ECN adoption is proceeding well over fixed networks. However, we found bad news over mobile. More than half of the 18 mobile carriers tested routinely wipe the ECN field of all incoming packets. Fortunately, wiping the ECN field at the first hop only denies the benefits of ECN to the connection; the session otherwise proceeds as normal.

ECN problems in mobile have not surfaced before because this is the first ECN study to have extended to a broad enough set of mobile vantage points. This is due to the considerable work needed to build infrastructure like MONROE, which makes it feasible to measure the effect of kernel level changes over a wide range of mobile networks.

We conjecture that wiping ECN could be due to a bug, where wiping the Diffserv field accidentally includes ECN. Similar bugs have been fixed quickly in the past. We could not prove any correlation between ECN and Diffserv wiping, given we found Diffserv is always wiped. We plan further work on this, in cooperation with the affected carriers.
7. REFERENCES

https://github.com/monroe-project/


(ECN) to IP. RFC 3168 (Proposed Standard), Sept. 2001. Updated by RFCs 4301, 6040.


